









Express Mail No. <u>EL 452 481 136 US</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Ian Ruddle

Serial No.: 09/843,460

Group Art Unit: 2661

Filed: April 25, 2001

Examiner: Not Yet Assigned

(formerly 010431-0003-888)

For:

APPARATUS AND METHOD FOR Attorney Docket No.: 010431-0003-999

PROVIDING SECURE

COMMUNICATION ON A

NETWORK

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Initial Patent Examination Division

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed by the U.S. Patent and Trademark Office on June 21, 2001, Applicants submit the following documents to complete the filing for the above-identified application:

- 1. A Declaration and Power of Attorney for Patent Application signed by the inventor;
- 2. Submission of Formal Drawings;
- 3. Formal Drawings (8 sheets);
- 4. Return copy of Notice to file Missing Parts of Nonprovisional Application;

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Pennie & Edmonds LLP Deposit Account No. 16-1150:

1. Surcharge fee for filing declaration on a date later than the filing date of the application.

\$ 65.00

TOTAL

\$ 65.00

The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150.

The documents submitted complete the filing of the above patent application and justify the filing date of April 25, 2001. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Dated: August 20, 2001

42,513

Matthew J. Engle

(Reg. No.)

Reg. No. 39,582

For: Kelly D. Talcott
PENNIE & EDMONDS LLP

3300 Hillview Avenue Palo Alto, CA 94304 (650) 493-4935

Enclosures



to Pennie & Edmonds LLP Deposit Account No. 16-1150:

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- 2 -



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023I www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/843,460

04/25/2001

Ian Ruddle

010431-0003-999

CONFIRMATION NO. 8317

PENNIE & EDMONDS LLP COUNSELLORS AT LAW 1155 Avenue of the Americas New York, NY 10036-2711



FORMALITIES LETTER OC0000000006212564

Date Mailed: 06/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE